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INTERNATIONAL EXPERIENCE:

International Management, Finance & Negotiation Experience with World Bank, IFC, EIB, DFI, EBRD Regular Dialogue with OECD, UNEPFI Consultative Status with United Nations

In cooperation with other institutions, I have co-initiated and developed the Equator Principles, designed the risk management framework and have been sitting in the steering committee of the Equator Principles Financial Institutions (EPFI) Association. I also represented UniCredit / HVB in all internal and external exchange with multilateral agencies dealing with risk management and global administrative law, including OECD, UN, World Bank and DFI. I was Responsible Board Member for „Stakeholder Engagement“ and „Outreach“ for EPFI Association until 2016.

Experience in Project Development and Management

I am an implementer of process and change management. My team was responsible for the structuring of risk mitigation for land market transactions like PNG LNG Pipeline and Eurasia Tunnel underneath the old city of Istanbul (UNESCO World Cultural Heritage). I was member of the risk management board and my work as head of extra-financial risk management included coordination of cross-functional teams including local and international experts. Furthermore, I am a certified EFQM auditor and have been appointed by Quality Austria as EFQM assessor.

Awards

Together with peers I won the Financial Times Sustainability Award in 2007. I also won the „Best Presentation Award“ at the University of Surrey with „Investment Banking between Crisis, Legitimacy Loss and Positive Impact Investment and Finance“. I successfully completed several research projects including a project on sustainability reporting together with Prof. Einwiller at the University of Vienna.

Scientific Approach

I have created a new focus point in scientific research and publication: I am issuing a new scientific book series „Sustainable Finance and SDG Economics“ with Springer Nature encompassing „Investment Reinvented-Movement“ and including publications to the Club of Rome. 24 months ago, my anthology „Responsible Investment Banking“ was published by Springer International. I am also authoring publications for Taylor and Francis and Bookboon including topics like organizational development, change management, rating and project management. My new anthology about „Positive Impact Investing and Organizational Culture“ will be published next year as well as „Creating Ecosystems of Ethical Culture in Business and Non Business Organizations“, and „Sustainable Financial Innovation“.

The three pillars of my activity at a glance:

 <p>ECCOS INTERNATIONAL we grow assets</p> <p>ECCOS Impact GmbH Switzerland</p> <p>Founder/CEO: Karen Wendt</p> <p>Purpose: Participations, Consulting & Structuring</p> <p>www.eccosinternational.io</p>	 <p>ECCOScience e.V. exploring impact investing</p> <p>ECCOScience e.V. Austria</p> <p>Founder: Karen Wendt & Dr. Margarethe Rammerstorfer</p> <p>Purpose: Applied Science Research</p> <p>www.eccoscience.io</p>	 <p>RESPONSIBLE INVESTMENT BANKING</p> <p>Karen Wendt Editor</p> <p>New book series: Sustainable Finance and SDG Economics</p> <p>www.responsible-investmentbanking.com</p>
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CURRICULUM VITAE

Name **Karen Wendt**
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LinkedIn [linkedin.com/in/profkarenwendt](https://www.linkedin.com/in/profkarenwendt)
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Academia [karenwendt.academia.edu](https://www.academia.edu/karenwendt)
ORCID orcid.org/0000-0002-3532-043X
SSRN papers.ssrn.com/sol3/cf_dev/AbsByAuth.cfm?per_id=2698579

Birthday 30.06.1962, Stuttgart
Nationality German



Professional Experience

- since 11/2017 **Initiator SDG Award**, for Senat der Wirtschaft, Austria
papers.ssrn.com/sol3/papers.cfm?abstract_id=3069973
- since 10/2016 **Pre-Doc**, Modul University Vienna, <https://www.modul.ac.at/user/wendt/>
- Presentation of landmark paper „Social Stock Exchanges - Democratization of Capital Investing for Impact“, https://papers.ssrn.com/sol3/papers.cfm?abstract_id=3021739
 - Ph.D. Graduation expected for 12/2018
- since 10/2016 **Founding Partner**, Conflict Resolution and Mediation, Werkstatt Mediation 22, www.weme22.io
- since 09/2016 **Founder**, Exploring Impact Investing, ECCOScience e.V., www.eccoscience.io
- since 04/2016 **CEO**, Positive Impact Investing and Impact Entrepreneurship, ECCOS Impact GmbH, www.eccosinternational.io
- since 04/2016 **Investment and Finance Expert, Editor of Springer Nature book series „Sustainable Finance and SDG Economics“ & Author**, Founder Responsible Investmentbanking, www.responsible-investmentbanking.com
- since 10/2015 **Lecturer**, Modul University Vienna, Vienna University of Economics & University of Applied Sciences for Economy and Management Munich
- 04/2010 – 04/2016 **Board Member of the Steering Committee of Equator Principles Financial Institutions Association**, seconded by UniCredit s.p.a.
- Working Group Leader Stakeholder Engagement with Industry Associations, Non Governmental Organisations (NGOs) and Export Credit Agencies
 - Working Group Leader Outreach
- Internal Responsibilities UniCredit s.p.a.
- Head of the ExtraFinancial Risk Management Department**
- Introduction of the Equator Principles in all Institutions of UniCredit Group
 - Representation in all international (EPFI, OECD, World Bank/IFC) and internal committees
 - Stakeholder dialogues with industry, export insurers and non-governmental organizations

- 03/2008 – 04/2010 **Project Leader „Introduction of Equator Principles for ExtraFinancial Risk Management in Foreign Direct Investments“,** HypoVereinsbank (HVB AG)
- Development and Implementation of Organizational Models, Structures and Policies and Change Management Procedures
- 02/2002 – 03/2008 **Vice President,** HypoVereinsbank (HVB AG)
- Portfolio Manager Project & Commodity Finance
 - Restructuring of the energy portfolio into a Renewable Energy Portfolio
 - Development of the Equator Principles together with 9 banks (First Signatories)
- 01/1998 – 03/2002 **Senior Associate,** HypoVereinsbank (HVB AG)
- Originator for Project & Commodity Finance and Public Private Partnership Deal Flow
 - Acquisition and structuring of project finance and export finance deals internationally
- 02/1995 – 01/1998 **Associate,** HypoVereinsbank (HVB AG)
- Client und Project Manager Financial Institutions
 - Responsible for the purchase of banking licences in CEE and the foundation of subsidiaries
- 01/1993 – 12/1994 **Guest Professor,** International University Institute for Work & Social Relationships in Minsk, Moscow and Kiev
- Lectures in Business Administration, Market Economy and International Finance for Russian Managers
- 01/1992 – 01/1993 **Manager,** Deutsche Bank AG
- Management of strategic industry assets in the department for corporate development
 - Preparation of supervisory mandates
 - Company Evaluations for strategic Investments
- 01/1991 – 01/1992 **Trainee,** Deutsche Bank AG
- 03/1990 – 07/1990 **Trainee,** EU Commission

Lecturer



- since 2015 **Lecturer for Behavioral Economics, International Negotiation and Mediation according to Harvard Model, Corporate Social Responsibility (CSR), Governance and Compliance,** University of Applied Sciences for Economy and Management Munich
- since 2015 **Lecturer for Foreign Direct Investment, Impact Investing and Organizational Resilience,** Vienna University of Economics
- since 2015 **Lecturer for Positive Impact Investing and Finance,** Modul University Vienna
Enrichment Courses in MBA & Undergraduate Programme

Education



- since 2016 Currently in parallel to job: **Ph.D. ,** Modul University Vienna – graduation expected 12/ 2018
- 2004 – 2007 In parallel to job: **MBA Studies,** University of Liverpool – Master of Business Administration
- 1985 – 1989 **Studies of Business Administration,** University of Regensburg – Diploma
- 1982 – 1985 **Studies of Economics,** University of Bonn – Bachelor degree

Additional Qualification

- **Certified Mediator for Business and Workplace**, Graduation March 2017, Akademie Perspektivenwechsel, www.akademie-perspektivenwechsel.de
- **Change Management Executive Coach** (2012) & **Systemic Coach** (2016), Akademie Perspektivenwechsel
- **Assessor EFQM** (European Federation for Quality Management), appointed by Quality Austria
- **Psychometric Diagnosis – MBTI / Coach Step 1 and Step 2**, Myers Briggs Type Indicator

Languages

- **German** mother tongue
- **English** fluent written and spoken
- **French** fluent written and spoken
- **Russian** middle level spoken, basic skills in writing

Awards

- 2014 **Best Presentation Award**, University of Surrey
„Investment Banking between Crisis, Legitimacy Loss and Positive Impact Investment and Finance“
- 2007 **First Financial Times Global Sustainability Banking Award in Association with International Finance Corp (IFC)**, for Equator Principles
www.ft.com/content/6e7e1296-9eea-11da-ba48-0000779e2340
- 2005 + 2006 **Sustainability Award**, HypoVereinsbank (HVB AG)

Research Projects

- 2017 **Research on Impact Investing, SDG Economics and Theory of Change**, EccoScience e.V., www.eccoscience.io
- 2017 **Research on „Investmentwende“**, <https://investmentwende.com/>
- 2017 **Research on Impact Stock Exchanges (e.g. Global Impact Investing Vienna Exchange Projekt)**, Social City Vienna, UNIDO and Vienna University of Economics see also Conference Paper on Social Stock Exchanges
- 2015/2016 **Research on „Positive Impact Investing and Finance“**, Modul University Vienna
- 2015/2016 **Qualitative Analysis of CSR Reports according to Global Reporting Initiative (GRI) G4**, University of Vienna

Future Research Topics

in Springer Nature „Sustainable Finance and SDG Economics“ book series

Sustainable Finance, De-Risking Assets and integrated Accounting • SRI, ESG, Social Impact Bond and Public Private Partnerships in infrastructure and social finance, Impact Investing Solutions • Funding Innovation, Impact Entrepreneurship and Creativity • Public and Mutual Finance • Micro-Finance and Micro-Lending • Critical Dimensions of Social and Sustainable Finance including Management Systems and Governance • Social and Integral Stock Exchanges and Market Making • Financial Markets Reforms and new companies • Theories of Change and their role in Co-Creation • Integrated Performance Measurement and Metrics • Integrated Reporting and Reporting 4.0 • Regulation and Public Policy in the age of Global Administrative Law • Integral and Social Entrepreneurship and civil action in the Millennial Age • New Ways in Business, Prototyping Strategy • Risks and Opportunity Society and Its Implications • Hybrid Solutions and Forms of entrepreneurship • Addressing Power Struggles and Conflicts with Mediation and Conflict Resolution Mechanisms • Going Beyond Mediation – Entrepreneurial Co-Creation and Prototyping Integral Business with Mediation Tools • Entrepreneurial, Markets and Institutions Perspectives and Solutions for SDG Economics • Carbon and Environmental Finance Markets and their integration • Social and Sustainable Banking • Creating Social Capital • Transforming Social Capital into Market Capital • Cost Benefit Analysis, Environmental Accounting and Reporting • Scaling Entrepreneurship and Franchising for social good • Investment Portfolio Construction Reinvented and Integral Impact Measurements • Social Impact Bonds, Public Private Partnerships, Green Bonds and Infrastructure • Information Economics • The role of carbon markets reinvented • Sustainable Cities • Education Reinvented • Going beyond Design Thinking • Going beyond Innovation • Resilient societies, landscapes & water and air conservation • Scaling investment and finance for climate commitments • Market Failure and How to overcome it • The circular economy • The Integrally Sustainable Exponential (Tech) Investor • Leadership in the New Era • Diversity in Leadership • Post-heroic Leadership, Co Creation and World Making • Complementary Macroeconomics • SDG Economics • Biodiversity and Biosphere

Publications

- Karen Wendt (2017): Conference Paper „**Social Stock Exchanges - Democratization of Capital Investing for Impact**“, https://papers.ssrn.com/sol3/papers.cfm?abstract_id=3021739
- Karen Wendt, Rosella Carè (2017): Conference Paper „**Investing with impact: an integrated analysis between academics and practitioners**“, <http://www.social-economy.com/sapienza-2017.html>
- Karen Wendt (2017): „**Decarbonizing Finance – Recent Developments and the Challenge Ahead**“, https://papers.ssrn.com/sol3/papers.cfm?abstract_id=2965677
- Karen Wendt (2017): „**Conflict Resolution Mechanisms for International Finance – A Modern Innovative Approach to Sustainable Business Practices at Reduced Financial Cost**“, https://papers.ssrn.com/sol3/papers.cfm?abstract_id=2999842
- Karen Wendt (2016): Conference Paper „**Positive Impact Investing**“, https://www.g-casa.com/conferences/vienna16/paper_pdf/Wendt.pdf
- Karen Wendt (2016): „**Die Rolle des Qualitäts- und Changemanagers erläutert am Beispiel der Einführung der Äquatorprinzipien im internationalen Bankgeschäft**“ in Brunhilde Schram, René Schmidpeter (Ed.): „Verknüpfung des Themengebietes CSR und Organisationsentwicklung“ Publisher: Springer Gabler, www.springer.com/de/book/9783662476994#aboutAuthors
- Karen Wendt (2016): „**Nachhaltiger Investieren durch Integrierte Ratings: Königsweg oder Utopie?**“ in H. Kopp (Ed.): „CSR und Finanzratings: Nachhaltige Finanzwirtschaft: Rating statt Raten!“ Publisher: Springer Gabler, www.springer.com/de/book/9783662474600

- Karen Wendt, Ed. (10/2015): „**CSR und Investment & Banking**“
Publisher: Springer Gabler, www.springer.com/us/book/9783662437087
- Karen Wendt (10/2015): „**Will there be such a ‘Think’ as Responsible Investment Banking one day?**“
Journal: The World Financial Review, www.worldfinancialreview.com/?p=4493
- Karen Wendt, Ed. (2015): „**Responsible Investment Banking - Risk Management Frameworks, Sustainable Financial Innovation and Softlaw Standards**“
Publisher: Springer International Publishing, www.springer.com/us/book/9783319103105
- Karen Wendt (2015): „**Opportunities Through Positive Impact Investing and Finance Embedded in Banking Value Chains**“ in Michael D’Heur (Ed.): „Sustainable Value Chain Management - Delivering Sustainability Through the Core Business“
Publisher: Springer International Publishing, link.springer.com/chapter/10.1007/978-3-319-12142-0_9#page-1
- Karen Wendt (2015): „**Reform der Bankkultur von Innen - Brücke zwischen Positive Impact und Vertrauen**“ in Andreas Schneider, René Schmidpeter (Ed.): „Corporate Social Responsibility“
Publisher: Springer Gabler, link.springer.com/chapter/10.1007/978-3-662-43483-3_64#page-1
- Karen Wendt (2015): „**Positive Impact Investing - was bringt das?**“ in SCIAM Fachmedien GmbH. & Co KG: „Magazin für Qualitätsmanagement und Integrierte Managementsysteme“

Forthcoming Publications

- Karen Wendt, Margarethe Rammerstorfer, Eds. (2019): Series „**Sustainable Finance and SDG Economics**“
Publisher: Springer Nature, <http://www.springer.com/series/15807>
- Karen Wendt Ed. (2019): „**Positive Impact Investing and Finance and Organizational Culture**“
Publisher: Springer Scientific Publishing International, <http://www.springer.com/de/book/9783319101170>
- Karen Wendt Ed. (2018): „**Positive Impacts**“, Publisher: Springer Gabler
- Karen Wendt Ed. (2018): „**Sustainable Financial Innovation**“, Verlag: Taylor and Francis
- Karen Wendt Ed. (once a year): Special Issue of Journal for Sustainable Finance and Investment: „**Impact Investing in Practice**“

Please find further information on my website: www.responsible-investmentbanking.com

Honory Positions

- **Member of the Ethics Advisory Board**, Senat der Wirtschaft | Austria, <http://www.senat-oesterreich.at>
- **Board Member**, Chinese American Scholars Association (CASA), www.g-casa.com/AboutUs.htm
- **Member**, Board of Trustees of Deutsches CSR Forum, appointed in 2015, www.csrforum.eu
- **Advisory Council**, CSR Dialogforum e.V., appointed in 2015, www.csr-dialogforum.at
- **Advisory Council**, Global Partners Bayern e.V., appointed in July 2015
www.global-partners-bayern.de/default.asp?Menue=1&ShowExperte=214621
- **Member of the 3rd year**, „Verantwortung Unternehmen“ of BMW- Eberhard von Kuenheim Foundation
www.kuenheim-stiftung.de/verantwortung-unternehmen/die-idee/
- **Supporter**, Sustainable Entrepreneurship Award (SEA), since 2014, www.se-award.org/de/people/endorser

Conferences – Key Note Speaker



- **Conference Speaker and Moderator**, 30th Australasian Finance & Banking Conference
Organizer: The University of New South Wales, Venue: Sydney, Australia, Date: December 13-15, 2017,
<https://www.business.unsw.edu.au/About-Site/Schools-Site/banking-finance-site/Documents/30th-AFBC-Program.pdf>
- **Key Note Speaker**, CSR Konferenz Humboldtuniversität
Organizer: Humboldtuniversität, Venue: Berlin, Date: September 2016,
www.facebook.com/csrhuberlin/photos/a.1600958733488727.1073741828.1594363964148204/1742003996050866/?type=1&theater
- **Moderator**, TBLI Conference Europe
Section: Responsible Investment Banking, Organizer: TBLI Foundation, Venue: Zurich, Date: November 19, 2015,
www.tbllgroup.com/tbliconference/europe2015.html
- **Key Note Speaker**, 1. PPP Week (G20/DEIK)
Organizer: PHD, Venue: Boğaziçi University, Istanbul, Date: November 3, 2015, <http://www.istanbulpppweek.com/karen-wendt-2/>
- **Moderator**, Wirtschaftskammer Österreich
Section: Marshallplan for Africa, Organizer: WKO Aussenwirtschaft, Venue: Vienna, Date: September 5, 2015,
www.wko.at/Content.Node/Export-Center-O-/Veranstaltungen/Exportveranstaltungen.html
- **Key Note Speaker**, Global Ethical Finance Forum
Organizer: Middle East Global Advisors, Venue: Edinburgh, Date: September 2015, www.meglobaladvisors.com
www.assaif.org/index.php/content/download/83137/402981/version/1/file/GEFF.pdf
- **Panelist**, III. Sustainable Finance Forum
Organizer: Boğaziçi University, Venue: Istanbul, Date: May 5, 2015,
www.responsible-investmentbanking.com/wp-content/uploads/2015/05/III-Sustainable-Finance-Forum-Istanbul-Program.pdf
- **Panelist**, Medienbranche im Umbruch: Nachhaltigkeit und CSR als Geschäftsmodell der Zukunft
Organizer: Frankfurter Buchmesse, Springer Box, Date: October 8, 2014,
<http://responsible-investmentbanking.com/publication-engl/interviews-reviews-videosrecordings/>
- **Organizer and Facilitator**, Book Launch: Investment Banking of the Future
Organizer: Karen Wendt, Venue: Goethe-Universität in Frankfurt, Date: October 8, 2014,
www.normativeorders.net/en/presse/pressemitteilungen/79-uncategorised/3161-investment-banking-der-zukunft-diskussion-mit-buchvorstellung-zu-effektivitaet-nachhaltigkeit
- **Moderator**, 12th CSR Forum: International Conference for Sustainability and Future-Fit
Section: CSR-Implementation in Unternehmen – Endlich die Vorteile heben, Organizer: Deutsches CSR Forum, Venue: Ludwigsburg, Date: April 2015, www.csrforum.eu

Please find further information on my website: www.responsible-investmentbanking.com

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- Founder and CEO
Responsible Investmentbanking
- Founder
EccoScience e. V.
- Editor **Springer International**
Scientific Publishing
- Networkpartner
Quality Austria Assessor
- Advisory Board
CSR Dialogforum